

GP 2879



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Yongjun Hu  
Title: STRUCTURES AND METHODS TO ENHANCE FIELD EMISSION IN FIELD EMITTER DEVICES  
Docket No.: 303.607US1  
Filed: August 31, 1999  
Examiner: Serial No.: 09/387,164  
Due Date: January 30, 2000  
Group Art Unit: 2879

Assistant Commissioner for Patents  
Washington, D.C. 20231

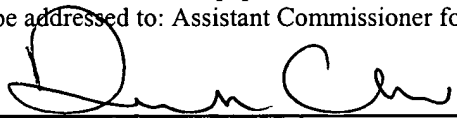
We are transmitting herewith the following attached items (as indicated with an "X"):

- ☒ A return postcard.
- ☒ An Information Disclosure Statement (1 pgs.), Form 1449 (1 pgs.), and copies of 2 cited references.

**Please consider this a PETITION FOR EXTENSION OF TIME for sufficient number of months to enter these papers and please charge any additional required fees or credit overpayment to Deposit Account No. 19-0743.**

**CERTIFICATE UNDER 37 CFR 1.8:** The undersigned hereby certifies that this Transmittal Letter and the paper, as described above, are being deposited in the United States Postal Service, as first class mail, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on this 12 day of January, 2000.

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
P.O. Box 2938, Minneapolis, MN 55402 (612-373-6900)

By:   
Atty: D. C. Peter Chu  
Reg. No. 41,676

**Customer Number 21186**

(GENERAL)

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S/N 09/387,164

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Yongjun Hu

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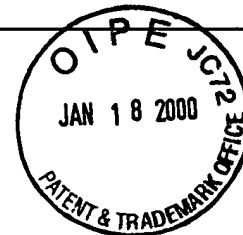
Filed: August 31, 1999

Docket: 303.607US1

Title: STRUCTURES AND METHODS TO ENHANCE FIELD EMISSION IN FIELD  
EMITTER DEVICES

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231



In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. §§ 1.97 *et. seq.*, the enclosed materials are brought to the attention of the Examiner for consideration in connection with the above-identified patent application. Applicant respectfully requests that this Information Disclosure Statement be entered and the documents listed on the attached Form 1449 be considered by the Examiner and made of record. Pursuant to the provisions of MPEP 609, Applicant further request that a copy of the 1449 form, initialed by the Examiner to indicate that all listed citations have been considered, be returned with the next official communication.

Under 37 C.F.R. §1.97(b)(3), it is believed that no fee or certificate is required with this Information Disclosure Statement. However, if an Office Action on the merits has been mailed, the Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Account No. 19-0743.

The Examiner is invited to contact the Applicant's Representative at the below-listed telephone number if there are any questions regarding this communication.

Respectfully submitted,

YONGJUN HU

By his Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
P.O. Box 2938  
Minneapolis, MN 55402  
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Date

January 12, 2000

By

D. C. Peter Chu  
Reg. No. 41,676

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Assistant Commissioner of Patents, Washington, D.C. 20231 on January 12, 2000.

Name

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Signature

Cina Bone

#4

Sheet 1 of 1

Form 1449*	Atty. Docket No.: 303.607US1	Serial No. 09/387,164
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)	Applicant: Yongjun Hu	
	Filing Date: August 31, 1999	Group: 2879

## U.S. PATENT DOCUMENTS

**Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
<u>S.R.</u>	5,696,028	12/09/1997	Rolfson, J.B., et al.	437	228	09/02/94
<u>S.R.</u>	5,831,378	11/03/1998	Rolfson, J.B., et al.	313	336	08/25/97

## FOREIGN PATENT DOCUMENTS

**Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation Yes   No
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## OTHER DOCUMENTS

(Including Author, Title, Date, Pertinent Pages, Etc.)

**Examiner Initial
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TC 2 1000-1001

Examiner <u>Sikha Roy</u>	Date Considered <u>10/2/01</u>
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\*Substitute Disclosure Statement Form (PTO-1449)

\*\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.